

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	Application of:	Confirmation No.: 1828					
	t H. Zerenner, et al.						
• •	cation No.: 09/676,374	Group Art Unit: 3624					
Filing	Date: September 29, 2000	Examiner: Daniel S. Felten					
For:	SYSTEM AND METHOD FOR ANALYZING AND SEARCHING FINANCIAL INSTRUMENT DATA						
		EXPRESS MAIL LABEL NO: EL 998514660 US DATE OF DEPOSIT: August 26, 2004					
		EL998514660US					
Sir:		GROUP 3LC					
	REPLY TR	ANSMITTAL LETTER					
	A Preliminary Amendment.						
\boxtimes	A Reply Responsive to the Office Action Dated July 1, 2004.						
	Supplemental to the Paper	filed .					
	A Substitute Specification (pages	1 -) in clean form.					
	A substitute specification	(pages 1 -) with markings.					
	An Abstract is enclosed.						
	replacement sheets of drav	wings are enclosed comprising figures .					
	Request is hereby made to accepare the only practicable medium black and white photographs con	of black and white photograph(s) in this case, as they for illustrating the claimed invention. One (1) set of apprising figure(s) is submitted herewith.					

Petition is hereby made to accept drawing(s)/photograph(s) in this case.

Three (3) sets of color drawing(s)/photograph(s) and black and white photocopy that accurately depicts to the extent possible, the subject matter shown in the color drawing(s)/photograph(s), are enclosed, comprising figures П An amendment to the first paragraph in that portion of the Brief Description of the Drawings is also enclosed herewith advising that the patent contains at least one drawing/photograph in color. is enclosed. A Certified Copy of each of the following applications: An Associate Power of Attorney is enclosed. Information Disclosure Statement. Attached Form 1449. A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith. A Terminal Disclaimer is attached. Appendices as follows: Other No Additional Fee is Due. Applicant(s) has previously claimed small entity status under 37 CFR § 1.27. 冈 Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR § 1.27 as This application is no longer entitled to small entity status. It is requested that this be noted in the files of the U.S. Patent and Trademark Office.

PATENT

DOCKET NO.: POPT-0004

DOCKET NO.: POPT-0004 PATENT

				SMALL	ENTITY	NOT SMAI	LL ENT	TY
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FE	E
TOTAL CLAIMS	28	28	0	\$9 EACH	\$	\$18 EACH	\$	
INDEP. CLAIMS	5	5 (3 MINIMUM)	0	\$43 EACH	\$	\$86 EACH	\$	
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$145	\$	\$290	\$	
ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$	
☐ TWO MONTH EXTENSION OF TIME				\$210	\$	\$420	\$	_
☐ THREE MONTH EXTENSION OF TIME				\$475	\$	\$950	\$	-
☐ FOUR MONTH EXTENSION OF TIME				\$740	\$	\$1480	\$	
☐ FIVE MO	\$1005	\$	\$2010	\$				
LESS AN	minus	(\$)	minus	(\$)			
☐ TERMIN	\$55	\$	\$110	\$				
OTHER								
		\$		\$				

A check in the amount of <u>\$.00</u> is attached. Please charge any deficiency or credit any overpayment to Deposit Account 23-3050.
Please charge Deposit Account No. 23-3050 in the amount of00. This sheet is attached in duplicate.
Petition is hereby made under 37 CFR § 1.136(a) (fees: 37 CFR § 1.17(a)(1)-(4)) to extend the time for response to the Office Action of to and through comprising an extension of the shortened statutory period of month(s).

DOCKET NO.: POPT-0004 PATENT

The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 23-3050. This sheet is provided in duplicate.

Date: August 26, 2004

John E. McGlynn W Registration No. 42,863

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